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Docket No.: 06727/100I204-US1  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Shlomo Shlafman et al.

Application No.: 09/768,697

Confirmation No.: 3714

Filed: January 23, 2001

Art Unit: 3693

For: ANALYSIS OF FINANCIAL DERIVATIVES

Examiner: R. C. Weisberger

**AMENDMENT AFTER ALLOWANCE UNDER 37 C.F.R. 1.312**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to issuance of the patent, applicant respectfully requests entry on this amendment under 37 C.F.R. 1.312 for the above-captioned patent application.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 6 of this paper.